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| <br><b>TRANSMITTAL FORM</b>  | Application Number  | 10/820,618   |             |
|  | Filing Date   | April 7, 2004  |             |
|  | First Named Inventor  | HUANG, YADONG  |             |
|  | Group Art Unit  | 1646   |             |
|  | Examiner Name   | Pak, Michael D.  |             |
| Total Number of Pages in This Submission   | 11 pages  | Attorney Docket Number   | UCAL-217CON |
| <b>ENCLOSURES (check all that apply)</b>   |   |  |             |
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Documents<br><input checked="" type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers<br>(for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence<br>Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication<br>to Group<br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please<br>identify below):<br>Copy of Notice to Comply<br>Paper Copy of Sequence Listing<br>1 Computer Readable disk<br>Return postcard | Remarks     |
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| Signing Attorney/Agent<br>(Reg. No.)   | PAULA A. BORDEN 42,344<br>BOZICEVIC, FIELD & FRANCIS, LLP   |  |             |
| Signature  |   |  |             |
| Date   | March 19, 2007  |  |             |
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|   |                 |
|---|-----------------|
| Attorney Docket   | UCAL-217CON     |
| First Named Inventor                                      | HUANG, YADONG   |
| Application Number  | 10/820,618      |
| Filing Date   | April 7, 2004   |
| Confirmation Number                                       | 8516            |
| Group Art Unit  | 1646            |
| Examiner Name   | Pak, Michael D. |
| Title: "METHODS OF TREATING DISORDERS<br>RELATED TO APOE" |                 |

Sir:

Prior to the examination of the above-referenced application on the merits, please enter the amendments beginning on page 2.